Interpreted logical forms: a critique

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Interpreted Logical Forms (ILFs) are objects composed of a syntactic structure annotated with the semantic values (objectual content) of each node of the structure. We criticize the view that ILFs are the objects of propositional attitude verbs such as believe, as this is developed by Larson and Ludlow (1993). Our critique arises from a tension in the way that sententialist and propositionalist assumptions are embedded in their theory. We argue that the instability in the theory that arises from this tension undermines Larson and Ludlow's claim that belief-reports can be individuated by distinctions in the form or content of ILFs. The locus of the problems we pose centers on how anaphoric relations are to play a role in determining discriminable properties of ILFs; we argue that Larson and Ludlow present no coherent theory of anaphora which mates with the notion that objectual content is part of the objects of belief-reports.

1. Russelian belief

If one were to review current thinking about belief and belief-reports, it would appear that there is consensus around a particular 'Russelian' assumption about belief. This assumption is that the objects of belief – the things which people believe, or towards which they hold propositional attitudes – contain individuals as constituents, and it is this which distinguishes Russelians from, say, Fregeans or (strict) sententialists. Thus, if a speaker truthfully utters the sentence Max believes Paderewski is a genius to report Max's belief, then what Max believes is something of which the person Paderewski is a part. Those who hold this view can be divided into roughly two camps.

The first holds that the objects of belief are propositions. In the case above, this is a singular proposition, whose constituents are an n-ary sequence of objects and an n-ary relation, where the proposition is true if and only if the relation holds of the objects. Singular belief (to which we will limit our attention throughout) is then usually taken to be a relation between an individual and such a proposition, which holds just in case that individual is related to that proposition with respect to some conception of the constituents of the proposition, the mode of presentation under which the propo-
sition is believed. So, in the example above, the proposition believed is:

\[ \text{<Paderewski, x is a genius}, \]

under, for instances, the mode of presentation of Paderewski as the famous Polish pianist. Believing this proposition in this way is to be related to it in a different way than believing it under the mode of presentation of Paderewski as the famous Polish politician. This is so even though the object of belief, the proposition above, is the same in both cases.\(^1\)

The second view is distinguished by holding that singular propositions do not exhaust the objects of beliefs, but must be augmented in some way. On this view, while individuals are still part of the objects of belief, there is something else which is also part of those objects, and what is believed may be individuated in terms of that something else. There are primarily two takes on the something else. One is that it is the modes of presentation, so what are believed are quasi-singular propositions, objects consisting of singular propositions whose constituents are paired with modes of presentation.\(^2\) The second line, which eschews any role for conceptual modes, takes the something else to be some aspect of the linguistic form through which the belief is expressed. Just what aspect of the linguistic form is up for grabs, but contenders include linguistic entities such as words, or syntactic structures.

What draws together these various approaches is that they all maintain that individual objects are part of the content of a belief; it is this which marks them all as Russelian in the sense which concerns us here. Needless to say, in these brief comments we will not be attempting to adjudicate among the alternative ways of constructing a Russelian theory. We only wish to offer some comments on one particular version, a form of the second view described, offered up by Richard Larson and Peter Ludlow in their paper “Interpreted Logical Forms”. The theory presented there can be stated quite simply: Propositional attitude verbs express relations between agents and a syntactic structure, each of whose nodes is annotated with its semantic value. Such annotated structures Larson and Ludlow call interpreted logical forms (ILFs).\(^3\) The predicate believe, for instance, expresses a relation between an agent and such an ILF; hence, the belief report Max believes that Paderewski is a genius is true just in case Max believes an ILF in which the NP Paderewski is annotated with the individual Paderewski.\(^4\) ILFs, on this view, are therefore the “objects of the attitudes”; they are complexes of syntactic structures (includ-

\[ \text{(1) Max believes Judy Garland sang “Somewhere Over the Rainbow”} \]

\[ \text{(2) Max believes Frances Gumm sang “Somewhere Over the Rainbow”} \]

What distinguishes Larson and Ludlow’s approach is that the range of formal distinctions which can differentiate ILFs will include any distinctions based on abstract aspects of syntactic and lexical structure, as this is provided by our best (i.e. most empirically motivated) linguistic theory. This allows for extremely fine-grained criteria of belief individuation; insofar as ILFs can be so distinguished, beliefs can be as finely individuated as the language used to express them.

In developing such a fine-grained theory, however, Larson and Ludlow are not insensitive to the fact that beliefs may be more coarsely grained, in the sense that there are circumstances under which if an individual believes \( p \), he may be reported truthfully to believe \( q \), where \( p \) and \( q \) differ in their linguistic form, but agree in their objectual content. Thus, while (1) and (2) are distinct belief-reports, in virtue of their difference in linguistic form, there are circumstances, according to Larson and Ludlow, under which one or the other might be more appropriately used, where the range of usable ILFs is defined through invariance of objectual content. We discuss this aspect of their approach in section 4.

In Larson and Ludlow’s view, ILFs are the objects of belief, and although, qua beliefs, they are believed whole, they fail to be unified in certain respects. There is a deep division which cuts across these
representations, cleaving between the linguistic and the objectual, and questions arise as to how one can stand in a relation to such a hybrid. ILFs contain occurrences of words, and words are public in the sense that distinctions among them are typically within the conscious ken of common folk – anybody knows that Cicero is a different word than Tully. But ILFs also contain linguistic aspects that surely are not public in this sense; for example, their syntactic structure. It would seem within the spirit of Larson and Ludlow's analysis to reply that ILFs need not be consciously public; if sharing a language is understood as sharing a set of rules and representations, common tacit knowledge of these would underwrite a different sense of public, and it might be argued then that ILFs are uniformly public in this sense, if only tacitly so. This does not suffice to unify ILFs, however, since ILFs are not entirely internal, mental objects. They are also in part external and non-mental, and neither the agent of belief, nor the speaker can be guaranteed epistemic access to the objectual annotations that the ILFs contain. A speaker can say that Peter believes that Cicero is not Tully, but clearly it does not follow that Peter has privileged epistemic access to the objectual annotations of the ILFs of the that-clause, since, if he did, he would know by virtue of such access that Cicero and Tully are co-valued and the ILF of Cicero is not Tully has false as its value. Similarly, the speaker might believe that Cicero is not Tully, agreeing with the belief he attributes to Peter. But by attributing a belief one cannot know its truth-value; one can know that Cicero is Tully, but not by inspecting ILFs. On the other hand, there are parts of ILFs, the identity of the words they contain, for example, which it seems fair to say, are accessible, at least to the speaker, being public in the usual sense. The conclusion to draw is that ILFs are not epistemically uniform. If to be an object of belief is to be an object that an agent or a speaker has an epistemic relation to, ILFs are not plausible candidates as such for that status.

Larson and Ludlow's theory of belief-reports can be characterized as turning on a fundamental tension between the linguistic and the objectual. Thus, central to their approach will be how well it can handle cases in which one or the other of these is held constant – either where there is invariance of form, but not content, or there is invariance of content, but not form – since differences in form or content in themselves should be sufficient to distinguish belief-reports (and hence reports of different beliefs). Success with such cases will therefore be a metric of success of the overall theory. Our goal in this paper will be to evaluate the central cases Larson and Ludlow put forth to this end. The conclusion we reach is that Larson and Ludlow have neither successfully argued that logical forms must be interpreted, in their particular sense of annotating logical forms of embedded clauses with semantic values, nor do they present a notion of logical form which is sufficiently (or appropriately) fine-grained, especially when faced with the problems posed by belief puzzles. Our discussion proceeds as follows. In Section 2, we examine Larson and Ludlow's argument for objectual content of ILFs in face of their commitment to sententialism. This sententialism is the topic of Section 3, in which we explore their notion of lexical item by reflecting on their treatment of belief puzzles. In Section 4, we look at the treatment of de re equivalence of belief-reports, and the role it plays in characterizing how a given belief may be reported, while Section 5 is devoted to Larson and Ludlow's treatment of demonstratives, and the content they contribute to ILFs.

2. The argument for interpretation

It is a hallmark of Larson and Ludlow's approach that any part of an ILF may make a difference in the truth-conditions of a belief-report, and although it usually goes along with a difference in content, a difference in form alone should be sufficient to distinguish belief-reports. Larson and Ludlow, however, do present one argument intended to demonstrate the independent role of the objectual component in distinguishing ILFs, and hence of the need for the 'interpreted' part of interpreted logical forms. It is based on the following examples:

(3) Hans is brawny. Arnold believes he works out

(4) Franz is brawny. Arnold believes he works out.

According to Larson and Ludlow, the second sentences in (3) and (4) are 'identical in form'; yet, as they observe, they can report different beliefs. The first reports the belief that Hans works out, the second the belief that Franz works out; hence one might be a true report, the other a false one. But while they have the same syntactic form, the ILFs embedded in each differs – in the first it contains Hans, in the latter, Franz, where these values are picked up and annotated to the pronouns in virtue of the anaphoric valuation of the pronouns. Thus, (3) and (4) are "attitude reports distinguished by content", not by form.

This account, we can observe, faces certain immediate difficulties. One arises from an assumption in Larson and Ludlow's theory.
that it is not required that an ILF have an objective component; having or not having one distinguishes fiction from non-fiction. Max believes that Holmes is a detective and Max believes that Poirot is a detective report different beliefs according to Larson and Ludlow, but solely because they are of different linguistic form. All that distinguishes them is that one contains the name Holmes, the other Poirot; neither contains an individual as a constituent of the ILF of the embedded clause. Now, suppose that in (3) and (4) Hans and Franz are fictional names, just like Holmes and Poirot. There is then no objectual content associated with the pronouns in the ILFs, so that they are distinguished neither by their content nor by their form, and hence should report the same belief. But clearly they can still just as much report different beliefs of Arnold, just as they do with non-fictional names, or with the Holmes/Poirot examples.

A related problem, due to N. Hornstein, arises when, rather than not denoting, Hans and Franz denote, but denote the same individual. In this case, the belief-reports in (3) and (4) will have ILFs with objectual contents, but these contents will be exactly the same, and hence (3) and (4) will report the same belief. But this seems incorrect, since it still may be the case that one is a true report, while the other is not, for instance, if Arnold did not know that Hans and Franz were one and the same person.

Larson and Ludlow's response to this (footnote 11) is as follows: "We suggest that the pronoun in these cases is behaving as a 'pronoun of laziness' (Geach 1962) going proxy for its antecedent expression. ... On our view, the Logical Forms for these sentences will actually contain the names in place of the pronouns". Thus, the belief-reports in (3) and (4) will have the same Logical Forms as (5) and (6):

(5) Arnold believes Hans works out

(6) Arnold believes Franz works out.

The reasoning is then that since it is the names themselves which appear in the ILF, this will be sufficient to distinguish the belief-reports as forms, even though the content does not.

In the context of Larson and Ludlow's approach, this response raises an immediate problem in that it simply gives up (3) and (4) as an argument for belief-reports distinguished by content, which was the original intent of these examples. This is because there is no reason why the pronouns should not also be pronouns of laziness when Hans and Franz denote different individuals, as opposed to denoting the same individual, or no individual at all. But if they are, then even in this case the belief-reports can be distinguished simply by their forms. And this will be so regardless of whether "Hans" and "Franz" denote different, the same or no individuals; that is, of whether the ILFs have different content or not. In order for Larson and Ludlow's response to go through, it must be that the pronouns are not pronouns of laziness when their antecedents (i) actually denote something and (ii) they are non-coreferential. But one would have to argue for this most unusual constraint on the distribution of such pronouns.

Let us see, however, how we might reconstruct such a theory. Following Larson and Ludlow, let us consult Geach (1976: 26-7) for guidance:

As regards the semantic role of pronouns with antecedents, the term 'pronoun' suggests one account and the term 'back-reference' a quite different account. A pronoun may be regarded as simply going proxy for a noun or noun-phrase: as replaceable in paraphrase by simple repetition of its antecedent or by a repetitious phrase somehow reconstructible out of the antecedent. On the other hand, a pronoun may be regarded as picking up and carrying on the reference made by the antecedent noun or noun-phrase: in medieval jargon, as making recordatio rei antelatiae. These two accounts are not incompatible; if the antecedent can be said to have reference, then this reference may be also ascribable to that repetition or near-repetition of an antecedent for which a pronoun goes proxy. But there are cases in which the repeated or nearly repeated antecedent could not possibly be held to repeat or continue the reference of the antecedent at its original occurrence: these are pure cases of what I have called pronouns of laziness.

The first thing to observe is that on Geach's account, the repetitions that Larson and Ludlow envisage are not pronouns of laziness, since when they are referential, they "repeat or continue the reference of the antecedent at its original occurrence", something which pronouns of laziness do not do. This leaves them with two options if they wish to implement Geach's approach: the back-reference option, applicable only if the antecedent has reference, under which the pronoun will just come to be associated with the value of its antecedent (which will then occur in the ILF), and the going proxy option, under which the pronoun will be linguistically replaced in Logical Form by a repetition of the antecedent, which will be associated with its value, if it has one, although this is not required.

Given a theory which makes this distinction between back-refer-
ence and going proxy, how would these notions be applied so as to obtain the results desired by Larson and Ludlow? One could perhaps proceed as follows: First, take the back-reference option, if possible; if not, take the going proxy option. This would leave the case in which *Hans* and *Franz* refer to different individuals distinguished by content, and would also take care of the case where *Hans* and *Franz* are fictional names. Since back-reference in the latter case is not an option, the pronouns would go proxy, and replacing the pronouns by the names would allow for the belief-reports to distinguished on linguistic grounds. It would not help, however, with the case in which *Hans* and *Franz* co-reference, since here the back-reference option would be viable, and hence taken, leaving the beliefs undistinguished either by form or content. One might then modify the theory so that it is not conditioned; rather, the strategy would be to take both options, and then see if one or the other makes a distinction. But this would allow the going proxy option for all the cases, and under this option all three will be distinguished by form, making the question whether they are also distinguished by content moot. (A third option would be to conditionize the theory so that one first applied the going proxy option. But this would also wash out distinction by content, since this option is applicable regardless of whether the antecedent is referential or not.)

The upshot of all this is that if Larson and Ludlow are to maintain that cases like (3) and (4) argue for belief-reports distinguished by content, they will need to develop an adequate theory of anaphora. Our remarks are intended to indicate the difficulty of this task, at least along the lines which they appear to favor. The alternative is to leave the work to the linguistic form, and let distinction by these features of belief-reports suffice. But then, as noted, this brings into question the reason for objectual annotation of logical forms in the first place.

3. Problems with belief puzzles

The linguistic form of ILFs, as we have seen, is to play a central role in the semantics of belief-reports on Larson and Ludlow's approach. Central to their concept of this form is a certain proposal concerning the form of words, or more precisely, of lexical items. In this section, we turn to a close examination of this notion.

Suppose that we have the sentence *Watson believes that Holmes fell off Reichenbach Falls*. By uttering this sentence, is a speaker attributing to Watson belief of the same ILF as a speaker who utters *Lestrade believes that Holmes fell off Reichenbach falls* attributes to Lestrade? It would seem that according to Larson and Ludlow the answer must be affirmative. This is because on their approach all we have to go on are the formal properties of the linguistic item *Holmes*, as it occurs in ILFs, and since these ILFs will not contain any individuals as constituents, it is this formal identity that solely counts for distinguishing the ILFs; no values are available to do the job. But then it would appear that Watson and Lestrade *must* be reported to have the same belief, since the ILFs which they believe are formally identical. But certainly it could be the case that Watson and Lestrade have different beliefs, for instance, if Watson's belief were about Sherlock, while Lestrade's were about Sherlock's brother Mycroft.

Larson and Ludlow are not without a response to this problem. Their response, which arises in their discussion of the case of *Cerberus*, a name purporting to refer either to the guardian of Hades, or to a talking aardvark, a character in a comic book, is of interest because it introduces their notion of how lexical items may be individuated. They comment that the truth of (7) depends on "which Cerberus was intended"; hence, "two distinct ILFs must be made available":

(7) John believes Cerberus talks.

Although there is no apparent difference between the spellings of the two names, Larson and Ludlow nonetheless propose that the lexicon contains two formally distinct names, *Cerberus I* and *Cerberus II*. The diacritics are to be understood as part of the "spelling" of these names, so that they differ in just the way that *Cicero* and *Tully* do - the diacritics add just that much (phonologically null) information to the spelling *Cerberus* such that this is so. Larson and Ludlow consider this consequence reasonable, "given the independent need for syntactic discrimination between homophones in the ILF theory", indicating that homophones such as *bank* and *flier* also should be lexically distinguished by diacritics. *Bank I* and *bank II*, on this view, are to be distinguished in exactly the same way as, say, *table* and *chair*.

Larson and Ludlow push their proposal one step further, arguing that it affords an account of the puzzle surrounding pairs of belief-reports such as (8) and (9):

(8) Peter believes that Paderewski had musical talent

(9) Peter doesn't believe that Paderewski had musical talent.
As (8) and (9) stand, they appear to be contradictory, each embedding (tokens of) the same ILF. However, as Kripke (1979) first observed, (8) and (9) both may be true, given that Peter believes there are two people with the name Paderewski, one a musician, one a politician (even though there is, in fact, just one person Paderewski). But, as with Cerberus, it is possible to take (8) and (9) as embedding distinct ILFs, differing in that one contains the name Paderewski, and the other Paderewski, (although they will have the same objectual content.).

By this light, (8) and (9) are no different as belief-reports than Peter believes that Judy Garland had musical talent and Peter doesn't believe that Frances Gumm had musical talent.

By the logic of Larson and Ludlow’s theory, if the occurrences of Paderewski are distinguished diacritically, understood as part of lexical spelling, (and given that spelling is a formally discriminable feature of ILFs), then it certainly follows (i) that (8) and (9) are not contradictory and (ii), given their sententialist assumptions, that (8) and (9) can report different beliefs, since they embed formally distinct ILFs. While there is something to these conclusions that strikes us as correct, we harbor doubts about the correctness of the antecedent. We do so because of our skepticism about Larson and Ludlow’s central assumption— that the distinctions in hand hold in virtue of lexical diacritics. Is it really so clear that homophonic names are comparable to homophones like bank? If a speaker does not know that there are two words bank in English, it seems correct to say that the speaker’s knowledge of English is incomplete; that there is a gap in his or her lexicon. But suppose that a speaker has never read about the talking aardvark, but has read about the guardian of Hades. That speaker will have in his or her lexicon just one name Cerberus. Or suppose we have a speaker who, while well-versed in ancient philosophy, studiously avoids the world of commerce. That speaker will have in his or her lexicon just one name Aristotle, as he knows only of the author of De interpretatione, and nothing of the shipping magnate and erstwhile lover of Maria Callas. Is it reasonable in these cases to hold that that speaker’s knowledge of English is incomplete? Surely not. What these speakers do not know are not matters of English, but matters of fact: that there are two fictional characters, or that there are two people, that have the same name.

There is a further consequence of the lexical assumption that seems to us telling. Since diacritics can be used to distinguish homophonic non-empty names (as well as empty ones), there will be for each bearer of a name, a distinct lexical item which is his or her name. So, there will be at least as many names Judy Garland, for instance, as there are people bearing that name. This claim does not strike us as prima facie correct—couldn’t it be that there is only one name spelled Judy Garland, which many different people share? This certainly accords with common usage, for instance, when one says “There are many people named ‘Judy Garland’,” which is intuitively true, yet comes out as false on Larson and Ludlow’s account, since names of distinct individuals are distinct names, and hence no two individuals have the same name.

Now what of Paderewski? Can he have two lexically distinct names Paderewski, which are distinguished solely by diacritics? If names are shared in the sense just described, so that there is only one spelling Paderewski, of course the answer is no. There is reason to believe that this is the right answer given that (10) is implied by (8) and (9):

(10) Peter believes that there are two people named “Paderewski”

According to Larson and Ludlow, (10) is also false, since what resides within the quotation marks is either Paderewski or Paderewski, and Peter would believe that each of these accrues to only one individual. So it would seem that they must maintain that Peter is just wrong to believe that there are two people named Paderewski.

The nature of the problem here can be seen from a further example. Suppose that all parties concerned, the speaker, the hearer and Peter, believe that there is one name “Paderewski”, and moreover that each believes the others believe that there is one name “Paderewski”. (Independently, they may or may not believe it is borne by more than one person.) The speaker can still perfectly well assert (8) and (9), without attributing any logical inconsistency to Peter. Given this, it follows, if the distinctions are to be made diacritically, that no one can have conscious access to the diacritic spellings of the names, not Peter, the speaker nor the hearer. It seems that they are all wrong to believe what they do, that there is one name “Paderewski”.

The conclusion to draw from this would appear to be that the diacritics must be part of the linguist’s account of names, and tacitly known, if known at all. But if this is how diacritics are known, it is at odds with other views Larson and Ludlow hold regarding how one arrives at the proper language to use in reporting a belief. According to them “expressions used in attitude ascriptions will be tacitly ‘negotiated’ by participants in the discourse, following quite general principles holding of discourse of all kinds” (p. 341). Since the diacritics are part of expressions so used, it would appear that the diacritics are subject to negotiation between the speaker and hearer. In effect, they...
must be negotiating the spellings of the names that will be used. But why should two spellings that are overtly identical and only diacritically distinct be the outcome of such a negotiation? Moreover, how would the negotiation proceed, given that the participants, as just described, have no conscious awareness of the terms of the negotiation – the diacritic spellings – and are, to the contrary, consciously of the belief that there is only one spelling. The speaker, after all, would be willing to assert that Peter believes that two people have the same name. How can the speaker and hearer be negotiating if they don’t believe there is anything to be negotiated? This is unlike deciding whether to use Cicero or Tully in reporting a belief about the Roman, since in this case the speaker and hearer would consciously believe there are two names.

We believe that these considerations undercut the view that the problem is pragmatic in character. It makes sense to say, as Larson and Ludlow do, that a speaker’s choice of terms may depend on the speaker’s beliefs concerning the interests of the hearer. Beliefs of this sort can, in principle, be made conscious. But the sort of negotiation Larson and Ludlow propose is an unconscious negotiation, based on tacit knowledge running counter to the negotiator’s conscious beliefs. We are at least owed an indication of how such a pragmatic account would proceed, since it would seem prima facie that the terms of the account would not be those in which a pragmatic theory would truck.

4. De re belief-reports

There is a clear intuition that a speaker, in making a belief-report, is not limited to doing so in terms which the agent of the belief would recognize as reporting his belief. Thus, it is not hard to imagine circumstances under which one could truthfully utter Max believes Cicero is a Roman, even though Max may have never heard of anyone named “Cicero”, and would only assest to believing that Tully is a Roman. Any theory of belief-reports worth its salt, one would assume, would have to be able to provide some reasonable account for this intuition.

Larson and Ludlow approach such an account (in section 7.2 of their paper) in the following way. A communicative interaction can be thought of as proceeding with respect to a sort of social contract, by which the interlocutors “negotiate” the terms under which a conversation takes place. This negotiation will take into account various factors pertaining to how to best realize the communicative purposes of the speaker and hearer. Thus, since a speaker will utter what he believes best gets across what he wishes to say (in the case at hand, the belief he wishes to report), he will use whatever linguistic form which he thinks best expresses to the hearer the belief being reported. So, suppose that the speaker and hearer are interested in reporting a belief in the terms under which the agent believes it; that is, what he believes de dicto. To truthfully do this, the speaker will utter a sentence whose embedded ILF is the same as that with respect to which the agent holds his belief, (since the linguistic form used to convey a belief figures in the truth-conditions of a report of that belief). In the case described above, the speaker’s utterance of Max believes Cicero is a Roman will therefore be false, as opposed to Max believes Tully is a Roman, which would be true.

Now, of course, a negotiation which results in a de dicto belief-report cannot be the only sort, since then the original intention would be left unaccounted for. What Larson and Ludlow need to do is to characterize a more general notion of what it is to be a kind of ILF, such that any token ILF of the kind will issue forth in a belief-report that allows a speaker to truthfully report an agent’s belief to a hearer. The central question then becomes by what criteria can such kinds be determined?

Larson and Ludlow’s answer to this question stems from their characterization of what it is for belief-reports to be “logically equivalent attitude reports”:

... two distinct attitude reports α and β will be logically equivalent when the following two conditions are met: (i) the values assigned to the subparts of the complement clauses of α and β are identical (that is, α and β differ at most in the forms of (some of) their subconstituent parts); and (ii) α and β are evaluated under structures in which their formally distinct (but coreferring) subparts are given scope outside of the complement clauses, beyond the highest attitude verb (p. 322).

In accordance with (i) and (ii), Larson and Ludlow derive (11) and (12) by syntactic movement:

(11) Judy Garland₁ [Max believes [t₁ sang “Somewhere Over the Rainbow”]]

(12) Frances Gumm₁ [Max believes [t₁ sang “Somewhere Over the Rainbow”]].
Since the trace $t_1$ will be paired in the embedded ILFs with the same objectual content, and since these ILFs do not differ in linguistic form, Max will “stand in the believe-relation to one and the same object”,10 (11) and (12), therefore, embed identical ILFs, and it is this identity which defines them as being of a given kind. Moreover, because of the identity of objectual content, (11) and (12) are equivalent as de re belief-reports, and in virtue of being de re equivalent, they will have identical truth-conditions. As such, either can be used to truthfully report Max’s belief. Similarly, in the case above, the speaker’s utterance of Max believes Cicero is a Roman will be true, as a de re belief report; it doesn’t matter that he has not used the words under which the belief is held by the agent, for this holds no privileged or favored position relative to any other de re equivalent report. Any report embedding an ILF with the proper content could do the job; choice among them will be a result of the communicative contract in force.11

Larson and Ludlow’s account of belief reporting turns crucially on being able to fix the strict identity of ILFs, in virtue of which they obtain a notion of de re equivalence. Now, for such identity to hold, it is necessary that all the formally discriminable aspects of the ILFs be the same, that they be of the same shape – xerox copies, if you will. This includes, one would assume, the occurrences (of formal) variables, as this is indicated by the indexing of the traces in (11) and (12).12 However, there is nothing within syntactic theory (trace theory) from which the requisite formal identity follows; that is, that the traces in the two sentences (and their binders) must be coindexed. They could just as well bear different indices, so we could have (12’) instead of (12):

(12’) Frances Gumm$_2$ [Max believes [$t_2$ sang “Somewhere Over the Rainbow”]].

It would appear clear from Larson and Ludlow’s remarks that the embedded ILFs in (12) and (12’) do not satisfy the formal identity condition, because of the difference in indexing. Formal identity between the ILFs in (12) and (12’) no more follows than if we had Cicero and its trace with the index “3” in the place of Frances Gumm and its trace. But, if we can have (12’) alongside (12), a question arises as to how de re equivalence can be defined through formal identity of ILFs, since (12’) just as much reports a de re belief as (12); indeed, it must report the very same belief, since there can only be one de re belief of Max’s with regard to that person Judy Garland/ Frances Gumm (singing “Somewhere Over the Rainbow”). So, while belief-reports which embed identical ILFs are certainly de re equivalent, there are also belief-reports which are de re equivalent which do not embed identical ILFs. De re equivalence cannot be reduced to identity of ILFs.

There are a number of courses of action open at this point to eliminate (12’). One could, for instance, adopt a (discourse) principle stating that if two names have, as a matter of fact, the same value, then they have the same syntactic index under movement. This would exclude the pairing of (11) and (12’) in favor of (11) and (12). If this course were to be taken, however, problems of formulation would loom large, as one would face the daunting task of having to justify why syntactic indexing under movement should be under such semantic control. Alternatively, one could take the view that traces have no indices at all. Since traces would be “bare” in this sense, there of course would be no way to draw a distinction in ILF between (12) and (12’). This, however, would have far reaching consequences with respect to the semantics which Larson and Ludlow propose, in which the quantificational clauses crucially turn on correspondence between a variable bearing an index $i$ and variation with respect to the $i$th-element of a sequence (i.e. ordinal position).

A third possibility would be to hold that the role of the indices in distinguishing variables are just as bookkeeping marks; they are not semantic terms in the sense that names, for instance, are. Hence, although they are syntactically present, they are not the sort of things which would figure into evaluating whether ILFs are identical or not, and hence would be indiscriminable parts of ILFs. Now, assuming that the technical details of this suggestion could be properly worked out, this proposal might seem attractive. There is, however, an inconsistency in Larson and Ludlow’s treatment of de re belief reports that the proposal does not overcome.

Larson and Ludlow observe that formal distinctness of ILFs can serve to distinguish not only belief-reports with names such as Judy Garland and Frances Gumm, but also comparable examples with predicates. In this regard, they introduce the following examples:

(13) Max believes Mary xeroxed War and Peace
(14) Max believes Mary photocopied War and Peace.

On pages 331-32, and in their footnote 30, Larson and Ludlow make it clear that the de dicto non-equivalence of these belief-reports turns for them on the formal distinction between the words xerox and photocopy, distancing themselves from a Russellian view in which the
“coextensive predicates” xerox and photocopy denote different relations (particular universals). On that view different relations would appear as parts of the propositions expressed by the complements in (13) and (14), and failure of substitutivity would follow as a consequence. Their argument is ontological; they argue that no such entities as properties and relations need be appealed to in their theory, the task being equally well discharged by “homey entities such as words”.

The problem arises once we observe that (13) and (14) are fully comparable to (11) and (12); while not de dicto equivalent, they are de re equivalent. With (11) and (12), this follows. Since the formally distinct but coreferring subparts are given scope outside of the complement clause, and the traces left behind are covalued, the ILFs will count as logically equivalent by criteria (i) and (ii), with the indices on the traces left behind now irrelevant (as “bookmarks”) to whether the criteria are satisfied. This procedure, however, does not apply, according to Larson and Ludlow, to (13) and (14). They note, correctly, that there are well-understood syntactic conditions that prohibit moving a verb, so that neither (15) nor (16) are to be countenanced:

(15) [, xerox], [Max believes [Mary t, War and Peace]]

(16) [, photocopy], [Max believes [Mary t, War and Peace]].

The problem, then, is to give an account of the de re equivalence of (13) and (14). Since scoping out the predicates is not an option, Larson and Ludlow must give some other account of de re equivalence that ignores the formal difference between xerox and photocopy. But if that were done, the question would then come as to why one could not do the same with names, so as to ignore the formal difference between in the Judy Garland and Frances Gumm, and accordingly dispense with the scopal analysis altogether. But if they were to hold as a criterion for de re equivalence that if two expressions are coextensive, the formal distinctions between them could be ignored, they would clearly not be providing an analysis that provides any specific support for the ILF view. At this point, one might question what role would there be for formal identity of ILFs above and beyond their identity of content, leaving at issue only what determines the appropriate linguistic dress in which to clothe a content such that it best serves the communicative purposes at hand, an issue for any theory which gives primacy to objective content. Thus, although it appears to be their goal to cash in de re equivalence in terms of formal identity of ILFs, their attempt fails, since, whatever Larson and Ludlow might say concerning the treatment of indices, they cannot accommodate both the Frances Gumm/Judy Garland examples and the xerox/photocopy examples.13

5. Demonstrative content

In our discussion to this point, we have assumed that the content of a belief-report is exhausted by objectual content. But, according to Larson and Ludlow, there is another sort of case which brings non-linguistic content to bear on distinguishing belief-reports, although not by objectual content. Their example is (17), which they take to be ambiguous, between “ascribing to Max little more than a grasp of self-identity” and “ascribing to him belief about a significant empirical truth”:

(17) Max believes that planet is that planet.

The difference in the readings emerges when (17) is uttered “at a normal rate, and gesturing twice”, the latter when, (adapting an observation of Kaplan’s), it is uttered sufficiently slowly so that the first demonstrative is uttered accompanied by ostension to Venus in the morning, the second with ostension to Venus in the evening. The rub is that at least the latter reading does not appear to be characterized by the embedded ILF, since there is no difference in either the linguistic form or the objectual content of the demonstratives.14

What Larson and Ludlow suggest in way of an account is that demonstratives are individuated through the events which constitute acts of demonstration, and that these events make up part of the content of ILFs, along with the object demonstrated. (More precisely, they suggest that the semantic value of a demonstrative is a pairing <s,e> of an object and an event.) (17) will then have the desired property, they claim, in virtue of the demonstratives corresponding to different acts of demonstration, since in the ILFs, they will be associated with different eventive content. The problem now, however, is that we seem to have lost the account of (17) on the other, trivial, reading, since there seem to be just as much two acts of demonstration (to the same object) regardless of whether (17) is uttered rapidly or slowly — since there are two demonstratives, there are two acts of demonstration, and hence two demonstrative events.

It is not altogether clear how Larson and Ludlow are to make the distinctions they desire. Obviously difference of referential value cannot be appealed to, since all the demonstratives have the same
reference. One might alternatively appeal to some notion of intended reference. But whose intentions would these be? Certainly not the speaker, since under either way of uttering (17) he may perfectly well know that he is referring to Venus via all his demonstrations. Nor, apparently, could it be the believer’s intentions, in one case to demonstratively refer to a single object twice, in the other, to two objects. This is because the demonstrative events are just not those of the believer—they are the speaker’s acts. Indeed, the believer may not be in a position to report his own beliefs via demonstratives at all; but, even if Max were blind, his beliefs could still be reported by (17). Yet another approach would be to maintain that demonstrative acts are not demonstrative events in and of themselves, but only constituents of demonstrative events. Then the difference between the two cases would turn on whether there is a single event, which would involve repetitions of a single demonstrative act, or two distinct events, each with one constituent demonstrative act. Taking this line, however, would seem to require formulating a clear distinction between demonstrative types and demonstrative tokens. Exactly how that would be executed remains to be seen, especially given Larson and Ludlow’s reasonable insistence that “distinct occurrences of a demonstrative will involve distinct demonstrations, and hence distinct semantic values <x,e>”. A last possibility would be to say that demonstratives are ambiguous when they occur in ILFs between being associated with just objectual content, or with both objectual and eventive content. Taking the former alternative would then give the uninformative reading of (17), the latter, the informative. Although this might be the most plausible direction to take, sense would now have to be given to the notion of demonstratives without demonstrative acts, a notion which Larson and Ludlow appear to want to reject, and it would remain to be seen how such a theory would be worked out in detail.

Now suppose that some way could be developed for treating demonstratives such that the acts of demonstration are part of their values. It turns out that there are still cases, harkening back to those discussed in Section II, for which we cannot obtain the proper ILFs. So suppose that at time $t$, the speaker, while pointing to Max, utters (18) to hearer $h$, while at some later time $t'$, he utters (19), also pointing at Max, to hearer $h'$:

(18) That guy is brawny. Hans believes he works out

(19) That guy is brawny. Franz believes he works out.

It would appear on Larson and Ludlow’s account that Hans and Franz must have the same belief. The reason for this is that the anaphoric pronoun can only pick up the objectual value of the demonstratives (modulo the discussion of section 2), for if it picked up the eventive value, the pronoun would have the demonstrative content of the antecedent demonstrative phrase. But this cannot be, since the pronoun is not a demonstrative, and even if it were, particular demonstrative content is not something which more than one expression can have. If the pronoun has demonstrative content, it can’t be that which is part of the value of the demonstrative phrase, as acts of demonstration are token individuated. But now consider (20) and (21), uttered under the same sort of circumstances as above:

(20) That guy is a pianist. Peter believes he has musical talent

(21) That guy is a politician. Peter doesn’t believe he has musical talent.

(20) and (21) can be consistent reports of Peter’s beliefs and lack thereof. This, however, does not follow on the account envisaged, since the embedded ILFs will be exactly the same, pairings of a pronoun and an individual; here, as before, the demonstrative content cannot be part of the ILF, even if it is part of the value of the antecedent demonstrative. Thus, (20) and (21) ought always to be contradictory, which they are not.15

Larson and Ludlow themselves observe (in their footnote 17) another problem with their account of demonstrative content in ILFs. Intuitively, a speaker of (22) will have spoken truly if what John and Max both said is “That is the space shuttle”, each demonstrating the same shuttle:

(22) John said what Max said.

The truth of (22) shows that the embedded ILFs in (23) and (24) must be the same:

(23) John said that is the space shuttle

(24) Max said that is the space shuttle.

But since John and Max have each performed distinct demonstrations, this is sufficient, according to Larson and Ludlow, for the embedded ILFs to differ in content, even though the demonstrations
are of the same object. "John stands in the say-relation to a different ILF than Max – one distinguished by its different event of demonstration." Thus, (22) ought to be false.

To see the issue, it is important to first set aside (23) and (24) understood as direct speech reports. If they were part of direct discourse, (which need not be for all speakers overtly reflected by quotation mark punctuation), the inference to (22) would obtain, although only because the quotations are phonetically identical; i.e. identical in linguistic form. The inference would go through just as well in a discourse like that above, except that John and Max demonstrate distinct space shuttles, or (with a modicum of pragmatic preparation) if Max speaks a language in which the phonetic form "That is the space shuttle" means the same thing as the English sentence "Bats have wings". The relevant case, therefore, will involve indirect discourse, where content clearly matters, so that in these latter cases (22) would be false, as opposed to the case above.

Once direct speech has been set aside, the problem, however, seems entirely artefactual to Larson and Ludlow's treatment of demonstratives. Larson and Ludlow indicate that in their view a solution will be forthcoming from a theory of actions and events including, presumably, demonstrations, which will license (22) by identifying, by being sufficiently "similar," instances of indirect speech. But what would constitute such similarity for demonstratives above and beyond having the same demonstratum? That is, it would appear that any such theory would have to put forth as a necessary condition on similarity of demonstrations that they be demonstrations of the same object. What more do we need then to obtain the desired result? What seems most prudent is just to jettison the notion that ILFs contain demonstrative acts as part of their content. Talk of "similarity of demonstrations" only comes into play because of Larson and Ludlow's view that demonstrations appear as content in ILFs. Drop this and their problem vanishes, or more precisely, reduces to the more general problems of indirect speech and identity of objunctual content of ILFs. Thus, we still have to say why (22) also follows if Max says "This is the space shuttle", while John says "That is the space shuttle", where Max is standing closer to it than John, or why, (with "that space shuttle" denoting the Challenger), the following is valid: 16

(25)

a. John: The Challenger has just landed
b. Max: That space shuttle has just landed
c. Observer: John said what Max said.

What appears to bind these cases together is the sufficiency of iden-

tity of objunctual content to warrant the inference. Whatever issues transpire here have only to do with the role of such sufficiency for indirect speech (or belief) reports, independently of any particular theory of demonstrative and demonstrative acts.

6. Concluding Remark

It is a virtue of Larson and Ludlow's theory that it takes seriously what seems to be an obvious fact about beliefs, namely that our beliefs can be at least as finely individuated as the language through which we report them. As Larson and Ludlow observe, virtually any grammatical distinction can correspond to different beliefs, and be reflected in differing truth-conditions for belief-reports, even in the face of constant objunctual content. This much is implied by their version of sententialism. But this sententialism is tempered by their propositionalism, by which different forms correspond to the same belief in the face of constant content. This dual commitment is carried in Larson and Ludlow's theory by hybrids of linguistic form and objunctual content – interpreted logical forms. As we said at the outset, the measure of success of this theory will be with how well suited ILFs are for handling the tension which their particular mating of form and content generates; whether circumstances in which there is invariance of form, but not content, or invariance of content, but not form could be properly countenanced by the theory. What we have seen upon examination of some circumstances is that the relation is unstable at critical junctures; the theory of ILFs leaves us short both in its Russellian foundations and in its theory of logical form. Of course, there may be ways, above and beyond those we have suggested, of adding auxiliary hypotheses to the theory while maintaining the core notion of ILFs, but this does not seem to us the most fruitful direction to explore. Rather, our hunch about what is needed is a theory which provides, on the one hand, a notion of logical form which incorporates a coherent theory of anaphora, (as opposed to the helter skelter bunch of assumptions, from copying of values, to pronouns of laziness, event variables, lexical diacritics and indices which Larson and Ludlow offer up), while on the other rejecting the notion that the content which figures in the interpretation of belief-reports is a constituent part of what is believed, of the objects of belief. But playing out this hunch must wait for another occasion.

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The answer would seem that it must not, since then in Franz works out, Franz believes he is strong (Franz a fictional name). The pronoun could not be replaced by Franz as its proxy. It would then follow either (i) that this example should be ill-formed, as there is no way to interpret the pronoun, or (ii) if it is well-formed as is, then the ILF Franz is reported as believing as the same as Hans is reported as believing in Hans works out. Hans believes he is strong (Hans also a fictional name). But if Principle C is not in force here, we may ask where it is, and what its status will now be in the theory of anaphora, questions which Larson and Ludlow leave unresolved.

By the same line of reasoning it follows that Peter believes Paderewski had musical talent and Peter believes Paderewski had no musical talent are not reports of the holding of inconsistent beliefs.

One place where we do not take issue with Larson and Ludlow is in holding that diocritics are appropriately “public” to speakers of a language. If one knows a natural language, then one knows, as part of this knowledge, that a speaker can distinguish homophones; however, the grammar achieves this end – and that a speaker may be mistaken in holding that there are homophones when there are not. This is in no way private information; it is shared knowledge had in virtue of sharing a language, and if anything, known a priori. This is of course independent of what flaws there are in Larson and Ludlow’s notion of word; our arguments regarding this do not turn on any commitment to anything as dubious as “public language”. In contrast, see Taylor (1995), where he accuses Larison and Ludlow of employing a private notion of word in their analysis. But Taylor is simply wrong here and his arguments beside the point. Larson and Ludlow’s analysis turns only on public aspects of language.

Larson and Ludlow assume a procedure which will make available a value for inclusion in the ILFs in these cases. However, if the name is replaced by a quantifier such as some starlet no such value will be provided; this will be the case if the pronoun is a full anaphoric pronoun. See the discussion on p. 324-25. But then the ILF will be an open form, and a question arises as to whether such ILFs are proper objects of belief. Larson and Ludlow provide no definite answer.

In discussion, Peter Ludlow has suggested that the appropriate way to report a belief will be via whatever form is generated in the context of the conversational negotiation. The resulting report need not be, however, de re equivalent to the report the agent would make. But if the report need not be de re equivalent, then how are we to make sense of it being a truthful report? The alternative seems, then, to amount to the view that direct reports may be true or false, but not indirect reports, which need be only sufficiently felicitous. We do not pursue this line here, setting our attention to the narrower case where de re equivalence ought to hold, as Larson and Ludlow’s discussion is at best programmatic, making it difficult to evaluate how indirect belief reporting would work.

The indices which formally discriminate variables, bear in mind, arise in virtue of syntactic movement, and must be clearly distinguished from the diocritics discussed in Section 3, which are lexical features of words. We are presuming that Larson and Ludlow wish to maintain that syntactic indices only arise as a side-effect of movement, and are not to be found otherwise. Not if not many problems arise. For instance, the condition would seem to require that negations in identity statements like Judy Garland is Frances Gumm are coindexed. But this would appear to violate Principle C of Binding Theory, and would require explaining why the syntactic violation is tolerated in this sentence, not in Judy Garland felt sorry for Frances Gumm. Furthermore, the theory would appear to require that the identity statement, if true, be true by virtue of its linguistic representation, “analytic” in one way of using the term, and that Judy Garland is not Frances Gumm be not merely false but
contradictory. See Fiengo and May (1994), Chapter One for discussion of this point.

Larson and Ludlow suggest that belief-reports might be related under a wider notion of similarity, where this would additionally encompass cases where ILFs agree in form, but not in content. This would certainly mark off their theories from virtually all others which share their assumption about objective propositional content in allowing for belief-reports whose content changes but form remains constant. We are at a loss, however, as to how this would leave truth-conditions unchanged, (insofar as this is affected by the ILF), given the change in content. (Larson and Ludlow do cite one case in support of their view — the pair (3) and (4) above, where the belief-reports contain anaphoric pronouns. But these examples turn out to be irrelevant, since, as discussed, Larson and Ludlow end up proposing that they have linguistically different embedded ILFs, in which the pronouns are replaced by distinct names; cf. (5) and (6)).

The intuitions reported are those of Larson and Ludlow, not ours (To us, sentences like That star is that star do not have a trivial reading). In this regard, it is worth pointing out that in their footnote 17, Larson and Ludlow state that “Whereas statements like That man is self-identical will be necessarily true and uninformative, statements like That man is that man will be necessarily true and informative”. This appears to directly contradict their description of (17) in the text, by which the embedded clause can be “a statement of self-identity”.

It would not do here to call upon pronouns of laziness, replacing the pronouns by demonstratives. This is simply because the pronouns are anaphoric, not indexical. But even if we did take them as pronouns of laziness, the demonstrative content would have to be different than that which is part of the value of the demonstrative for which it goes proxy. This might afford an account of (20) and (21), but now we would lose the account of (18) and (19), since the reports here would now be of different beliefs.

Observe that such cases show that it would not suffice to hold that (23) and (24) say the same thing just by virtue of being of the same linguistic form, so as to maintain that they have different (demonstrative) content. (Notice that this view would also imply that demonstrative content is truth-conditionally irrelevant with indirect, as well as direct, speech).

References


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